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Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1662-53000 (P01-3850)

First Inventor Timothe LITT

Title An Efficient Word Recognizer For A Logic ...

Express Mail Label No. EV041360269US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 42]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages 1]
- a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- b. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies);
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

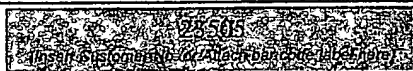
Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Jonathan M. Harris

Registration No. (Attorney/Agent)

44,144

Signature

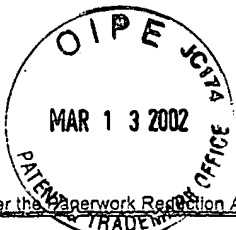
*Jonathan M. Harris*

Date

December 28, 2001

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 1,616.00

## Complete if Known

Application Number	NOT YET ASSIGNED
Filing Date	CONCURRENTLY HEREW
First Named Inventor	Timothe LITT
Examiner Name	UNKNOWN
Group Art Unit	UNKNOWN
Attorney Docket No.	1662-53000 (P01-3850)

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## METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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Deposit Account Name Compaq Computer Corporation

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

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## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 740.00

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
64	-20** = 44	18.00	792.00
4	-3** = 1	84.00	84.00
Multiple Dependent		280.00	00.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 876.00

\*\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) - 0 -

## SUBMITTED BY

Name (Print/Type) Jonathan M. Harris

Registration No. 44,144  
(Attorney/Agent)

## Complete (if applicable)

Telephone (713) 238-8000

Signature

Date December 28, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Receipt #3  
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Applicant: Timothe LITT

Serial No.: 10/034,227

Filed: December 28, 2001

For: An Efficient Word Recognizer  
For A Logic Analyzer

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§

Group Art Unit: 2811 OFFICE OF PETITIONS

Examiner: Unknown

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below:

*Colleen F. Brown*  
Colleen F. Brown

2/28/02  
Date

### REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Att'y. Docket No. 1662-53000  
Client Ref. No. P01-3850  
Date: February 28, 2002

Attention: Office of Initial Patent Examination  
Customer Service Center

Sir:

Attached is a copy of the official Filing Receipt received from the U.S. Patent and Trademark Office ("PTO") for the above-identified patent application for which issuance of a corrected Filing Receipt is respectfully requested. The filing date listed on the Filing Receipt is incorrect. The correct filing date is December 28, 2001. The Filing Receipt lists December 25, 2001 as the file date. Note, however, that December 25th was Christmas Day and both the U.S. Post Office and the U.S. Patent and Trademark Office were closed on that date. Apparently, the U.S. Post Office employee wrote the incorrect date on the Express Mail label (copy enclosed). A copy of the USPS track and confirm shipping history is also enclosed and confirms that the Express Mail package was accepted on December 28, 2001. Finally, enclosed is a copy of our acknowledgment postcard and our transmittal forms stating December 28, 2001 as the date the application was mailed to the PTO for filing.

Serial No.: 10/034,227  
Filed: December 28, 2001

The correction requested is not due to any error by Applicant and no fee is due.

Respectfully submitted,



---

Jonathan M. Harris  
PTO Reg. No. 44,144  
CONLEY, ROSE & TAYON  
P.O. Box 3267  
Houston, TX 77253-3267  
(713) 238-8000 (Phone)  
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ATTORNEY FOR APPLICANT



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/034,227	12/25/2001	2811	1616	✓1662-53000 JMH (P01-3850)	5	64	4

23505 mfh/jmh  
CONLEY ROSE & TAYON, P.C.  
P. O. BOX 3267  
HOUSTON, TX 77253-3267

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CONLEY, ROSE &amp; TAYON-HOU

CONFIRMATION NO. 9091

FILING RECEIPT



\*OC000000007394583\*

Date Mailed: 01/30/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Timothe Litt, Southborough, MA;

**RECEIVED****MAR 19 2002****Domestic Priority data as claimed by applicant****Foreign Applications****OFFICE OF PETITIONS****If Required, Foreign Filing License Granted 01/30/2002****Projected Publication Date: To Be Determined - pending completion of Corrected Papers****Non-Publication Request: No****Early Publication Request: No****Title**

Efficient word recognizer for a logic analyzer

**Preliminary Class**

257

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

**APPLICANT:** Timothe LITT  
**SERIAL NO.:** NOT YET ASSIGNED  
**FILING DATE:** CONCURRENTLY HEREWITH  
**CLIENT NO.:** 1662-53000 JMH (P01-3850)  
**ENTITLED:** An Efficient Word Recognizer For A Logic Analyzer

The date stamp of the mail room of the U.S. Patent & Trademark Office hereon will acknowledge receipt of a *Utility Patent Application Transmittal (1 p.) and Fee Transmittal for FY 2002 (1 p.), in duplicate; Declaration (1 p.); Application Data Sheet (1 p.); Patent Application (42 p.); FIVE (5) Sheets of INFORMAL Drawings (Figs. 1-8D); \$1,616.00 Filing Fee Charged to Deposit Account; and this acknowledgment postcard*, mailed by U.S. Postal Express Mail Service, Express Mail Label No. EV041360269US, postage prepaid, on December 28, 2001.

64391.01/1662.53000

**EV041360269US**